

## HYPERCYCLIC ABELIAN AFFINE GROUPS

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ABSTRACT. In this paper, we give a characterization of hypercyclic abelian affine group  $\mathcal{G}$ . If  $\mathcal{G}$  is finitely generated, this characterization is explicit. We prove in particular that no abelian group generated by  $n$  affine maps on  $\mathbb{C}^n$  has a dense orbit.

## 1. INTRODUCTION

Let  $M_n(\mathbb{C})$  be the set of all square matrices of order  $n \geq 1$  with entries in  $\mathbb{C}$  and  $GL(n, \mathbb{C})$  be the group of all invertible matrices of  $M_n(\mathbb{C})$ . A map  $f : \mathbb{C}^n \rightarrow \mathbb{C}^n$  is called an affine map if there exist  $A \in M_n(\mathbb{C})$  and  $a \in \mathbb{C}^n$  such that  $f(x) = Ax + a$ ,  $x \in \mathbb{C}^n$ . We denote  $f = (A, a)$ , we call  $A$  the *linear part* of  $f$ . Denote by  $MA(n, \mathbb{C})$  the set of all affine maps and  $GA(n, \mathbb{C})$  the set of all invertible affine maps of  $MA(n, \mathbb{C})$ .  $MA(n, \mathbb{C})$  is a vector space and for composition of maps,  $GA(n, \mathbb{C})$  is a group.

Let  $\mathcal{G}$  be an abelian affine subgroup of  $GA(n, \mathbb{C})$ . For a vector  $v \in \mathbb{C}^n$ , we consider the orbit of  $\mathcal{G}$  through  $v$ :  $\mathcal{G}(v) = \{f(v) : f \in \mathcal{G}\} \subset \mathbb{C}^n$ . A subset  $E \subset \mathbb{C}^n$  is called  $\mathcal{G}$ -invariant if  $f(E) \subset E$  for any  $f \in \mathcal{G}$ ; that is  $E$  is a union of orbits. Before stating our main results, we introduce the following notions:

A subset  $\mathcal{H}$  of  $\mathbb{C}^n$  is called an *affine subspace* of  $\mathbb{C}^n$  if there exist a vector subspace  $H$  of  $\mathbb{C}^n$  and  $a \in \mathbb{C}^n$  such that  $\mathcal{H} = H + a$ . For  $a \in \mathbb{C}^n$ , denote by  $T_a : \mathbb{C}^n \rightarrow \mathbb{C}^n$ ;  $x \mapsto x + a$  the translation map by vector  $a$ , so  $\mathcal{H} = T_a(H)$ . We say that  $\mathcal{H}$  has dimension  $p$  ( $0 \leq p \leq n$ ), denoted  $\dim(\mathcal{H}) = p$ , if  $H$  has dimension  $p$ .

Denote by  $\overline{A}$  the closure of a subset  $A \subset \mathbb{C}^n$ . A subset  $E$  of  $\mathbb{C}^n$  is called a *minimal set* of  $\mathcal{G}$  if  $E$  is closed in  $\mathbb{C}^n$ , non empty,  $\mathcal{G}$ -invariant and has no proper subset with these properties. It is equivalent to say that  $E$  is a  $\mathcal{G}$ -invariant set such that every orbit contained in  $E$  is dense in it. The group  $\mathcal{G}$  is called *hypercyclic* if there exists a vector  $v \in \mathbb{C}^n$  such that  $\mathcal{G}(v)$  is dense in  $\mathbb{C}^n$ . For an account of results and bibliography on hypercyclicity, we refer to the book [2] by Bayart and Matheron.

Define the map

$$\Phi : GA(n, \mathbb{C}) \rightarrow \Phi(GA(n, \mathbb{C})) \subset GL(n+1, \mathbb{C})$$

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$$f = (A, a) \mapsto \begin{bmatrix} 1 & 0 \\ a & A \end{bmatrix}$$

We have the following composition formula

$$\begin{bmatrix} 1 & 0 \\ a & A \end{bmatrix} \begin{bmatrix} 1 & 0 \\ b & B \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ Ab + a & AB \end{bmatrix}.$$

Then  $\Phi$  is a homomorphism of groups.

Let  $\mathcal{G}$  be an abelian affine subgroup of  $GA(n, \mathbb{C})$ . Then  $\Phi(\mathcal{G})$  is an abelian subgroup of  $GL(n+1, \mathbb{C})$ .

Denote by:

- $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$  and  $\mathbb{N}_0 = \mathbb{N} \setminus \{0\}$ .

Let  $n \in \mathbb{N}_0$  be fixed. For each  $m = 1, 2, \dots, n+1$ , denote by:

- $\mathcal{B}_0 = (e_1, \dots, e_{n+1})$  the canonical basis of  $\mathbb{C}^{n+1}$  and  $I_{n+1}$  the identity matrix of  $GL(n+1, \mathbb{C})$ .

- $\mathbb{T}_m(\mathbb{C})$  the set of matrices over  $\mathbb{C}$  of the form

$$\begin{bmatrix} \mu & & & 0 \\ a_{2,1} & \mu & & \\ \vdots & \ddots & \ddots & \\ a_{m,1} & \dots & a_{m,m-1} & \mu \end{bmatrix} \quad (1)$$

- $\mathbb{T}_m^*(\mathbb{C})$  the group of matrices of the form (1) with  $\mu \neq 0$ .

Let  $r \in \mathbb{N}$  and  $\eta = (n_1, \dots, n_r)$  be a sequence of positive integers such that  $n_1 + \dots + n_r = n+1$ . In particular,  $r \leq n+1$ .

Write

- $\mathcal{K}_{\eta,r}(\mathbb{C}) := \mathbb{T}_{n_1}(\mathbb{C}) \oplus \dots \oplus \mathbb{T}_{n_r}(\mathbb{C})$ . In particular if  $r = 1$ , then  $\mathcal{K}_{\eta,1}(\mathbb{C}) = \mathbb{T}_{n+1}(\mathbb{C})$  and  $\eta = (n+1)$ .
- $\mathcal{K}_{\eta,r}^*(\mathbb{C}) := \mathcal{K}_{\eta,r}(\mathbb{C}) \cap GL(n+1, \mathbb{C})$ .

Define the map

$$\Psi : MA(n, \mathbb{C}) \longrightarrow \Psi(MA(n, \mathbb{C})) \subset M_{n+1}(\mathbb{C})$$

$$f = (A, a) \mapsto \begin{bmatrix} 0 & 0 \\ a & A \end{bmatrix}$$

We have  $\Psi$  is an isomorphism.

- $\mathcal{F}_{n+1} = \Psi(MA(n, \mathbb{C}))$ .
- $\exp : M_{n+1}(\mathbb{C}) \longrightarrow GL(n+1, \mathbb{C})$  is the matrix exponential map; set  $\exp(M) = e^M$ .

There always exists a  $P \in \Phi(GA(n, \mathbb{C}))$  and a partition  $\eta$  of  $n+1$  such that  $G' = P^{-1}GP \subset \mathcal{K}_{\eta,r}^*(\mathbb{C}) \cap \Phi(GA(n, \mathbb{C}))$  (see Proposition 2.2). For such a choice of matrix  $P$ , we let

- $\mathfrak{g} = \exp^{-1}(G) \cap (P(\mathcal{K}_{\eta,r}(\mathbb{C}))P^{-1}) \cap \mathcal{F}_{n+1}$ . If  $G \subset \mathcal{K}_{\eta,r}^*(\mathbb{C})$ , we have  $\mathfrak{g} = \exp^{-1}(G) \cap \mathcal{K}_{\eta,r}(\mathbb{C}) \cap \mathcal{F}_{n+1}$ .

- $\mathfrak{g}_u = \{Bu : B \in \mathfrak{g}\}, u \in \mathbb{C}^{n+1}$ .
- $\mathfrak{g} = \Psi^{-1}(\mathfrak{g})$ .
- $\mathfrak{g}_v = \{f(v), f \in \mathfrak{g}\}, v \in \mathbb{C}^n$ .
- $u_0 = [e_{1,1}, \dots, e_{r,1}]^T \in \mathbb{C}^{n+1}$  where  $e_{k,1} = [1, 0, \dots, 0]^T \in \mathbb{C}^{n_k}$ , for  $k = 1, \dots, r$ . One has  $u_0 \in \{1\} \times \mathbb{C}^n$ .
- $p_2 : \mathbb{C}^{n+1} \rightarrow \mathbb{C}^n$  the projection defined by  $p_2(x_1, \dots, x_{n+1}) = (x_2, \dots, x_{n+1})$ .
- $v_0 = Pu_0$ . As  $P \in \Phi(GA(n, \mathbb{C}))$ ,  $v_0 \in \{1\} \times \mathbb{C}^n$ .
- $w_0 = p_2 v_0 \in \mathbb{C}^n$ .
- $e^{(k)} = [e_1^{(k)}, \dots, e_r^{(k)}]^T \in \mathbb{C}^{n+1}$  where

$$e_j^{(k)} = \begin{cases} 0 \in \mathbb{C}^{n_j} & \text{if } j \neq k \\ e_{k,1} & \text{if } j = k \end{cases} \quad \text{for every } 1 \leq j, k \leq r.$$

For groups of affine maps on  $\mathbb{K}^n$  ( $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ ), their dynamics were recently initiated for some classes in different point of view, (see for instance, [3], [4], [6],[5]). The purpose here is to give analogous results of that theorem for linear abelian subgroup of  $GL(n, \mathbb{C})$  proved in [1] (see Proposition 3.1). Our main results are the following:

**Theorem 1.1.** *Let  $\mathcal{G}$  be an abelian subgroup of  $GA(n, \mathbb{C})$ . The following are equivalent:*

- (i)  $\mathcal{G}$  is hypercyclic.
- (ii) The orbit  $\mathcal{G}(w_0)$  is dense in  $\mathbb{C}^n$
- (iii)  $\mathfrak{g}_{w_0}$  is an additive subgroup dense in  $\mathbb{C}^n$

For a *finitely generated* abelian subgroup  $\mathcal{G} \subset GA(n, \mathbb{R})$ , let introduce the following property. Consider the following rank condition on a collection of affine maps  $f_1, \dots, f_p \in GA(n, \mathbb{C})$ , where  $f'_1, \dots, f'_p \in \mathfrak{g}$  such that  $e^{\Psi(f'_k)} = \Phi(f_k)$ ,  $k = 1, \dots, p$ .

We say that  $f_1, \dots, f_p$  satisfy *property  $\mathcal{D}$*  if for every  $(s_1, \dots, s_p; t_1, \dots, t_r) \in \mathbb{Z}^{p+r} \setminus \{0\}$ :

$$\text{rank} \begin{bmatrix} \text{Re}(f'_1(w_0)) & \dots & \text{Re}(f'_p(w_0)) & 0 & \dots & 0 \\ \text{Im}(f'_1(w_0)) & \dots & \text{Im}(f'_p(w_0)) & 2\pi p_2 \circ Pe^{(1)} & \dots & 2\pi p_2 \circ Pe^{(r)} \\ s_1 & \dots & s_p & t_1 & \dots & t_r \end{bmatrix} = 2n+1.$$

For a vector  $v \in \mathbb{C}^n$ , we write  $v = \text{Re}(v) + i\text{Im}(v)$  where  $\text{Re}(v)$  and  $\text{Im}(v) \in \mathbb{R}^n$ . In this case, the Theorem can be stated as follows:

**Theorem 1.2.** *Let  $\mathcal{G}$  be an abelian subgroup of  $GA(n, \mathbb{C})$  generated by  $f_1, \dots, f_p$  and let  $f'_1, \dots, f'_p \in \mathfrak{g}$  such that  $e^{\Psi(f'_1)} = \Phi(f_1), \dots, e^{\Psi(f'_p)} = \Phi(f_p)$ . Then the following are equivalent:*

- (i)  $\mathcal{G}$  is hypercyclic.
- (ii) the maps  $f_1, \dots, f_p$  satisfy property  $\mathcal{D}$
- (iii)  $\mathfrak{g}_{w_0} = \sum_{k=1}^p \mathbb{Z}f'_k(w_0) + 2i\pi \sum_{k=1}^r \mathbb{Z}(p_2 \circ Pe^{(k)})$  is an additive group dense in  $\mathbb{C}^n$ .

**Corollary 1.3.** *If  $\mathcal{G}$  is of finite type  $p$  with  $p \leq 2n - r + 1$ , then it has no dense orbit.*

**Corollary 1.4.** *If  $\mathcal{G}$  is of finite type  $p$  with  $p \leq n$ , then it has no dense orbit.*

## 2. NOTATIONS AND LEMMAS

Denote by  $\mathcal{L}_{\mathcal{G}}$  the set of the linear parts of all elements of  $\mathcal{G}$  and  $\text{vect}(F)$  is the vector space generated by a subset  $F \subset \mathbb{C}^{n+1}$ . In the following, denote by  $I_m$  the identity matrix of  $GL(m, \mathbb{C})$ , for any  $m \in \mathbb{N}_0$ .

**Proposition 2.1.** ([1], Proposition 2.3) *Let  $L$  be an abelian subgroup of  $GL(n, \mathbb{C})$ . Then there exists  $P \in GL(n, \mathbb{C})$  such that  $P^{-1}LP$  is a subgroup of  $\mathcal{K}_{\eta', r'}^*(\mathbb{C})$ , for some  $r' \leq n$  and  $\eta' = (n'_1, \dots, n'_{r'}) \in \mathbb{N}_0^{r'}$ .*

**Proposition 2.2.** *Let  $\mathcal{G}$  be an abelian subgroup of  $GA(n, \mathbb{C})$  and  $G = \Phi(\mathcal{G})$ . Then there exists  $P \in \Phi(GA(n, \mathbb{C}))$  such that  $P^{-1}GP$  is a subgroup of  $\mathcal{K}_{\eta, r}^*(\mathbb{C}) \cap \Phi(GA(n, \mathbb{C}))$ , for some  $r \leq n+1$  and  $\eta = (n_1, \dots, n_r) \in \mathbb{N}_0^r$ . In particular,  $Pu_0 \in \{1\} \times \mathbb{C}^n$ .*

*Proof.* We have  $\mathcal{L}_{\mathcal{G}}$  is an abelian subgroup of  $GL(n, \mathbb{C})$ . By Proposition 2.1, there exists  $Q \in GL(n, \mathbb{C})$  such that  $Q^{-1}\mathcal{L}_{\mathcal{G}}Q$  is a subgroup of  $\mathcal{K}_{\eta', r'}^*(\mathbb{C})$  for some  $r' \leq n$  and  $\eta' = (n'_1, \dots, n'_{r'}) \in \mathbb{N}_0^{r'}$  such that  $n'_1 + \dots + n'_{r'} = n$ . For every  $A \in \mathcal{L}_{\mathcal{G}}$ ,  $Q^{-1}AQ = \text{diag}(A_1, \dots, A_{r'})$  with  $A_k \in \mathbb{T}_{n'_k}^*$  and  $\mu_{A_k}$  is the only eigenvalue of  $A_k$ ,  $k = 1, \dots, r'$ . Let  $J = \{k \in \{1, \dots, r'\}, \mu_{A_k} = 1, \forall A \in \mathcal{L}_{\mathcal{G}}\}$ . There are two cases:

- *Case 1:* Suppose that  $J = \{k_1, \dots, k_s\}$  for some  $s \leq r'$ . We can take  $J = \{1, \dots, s\}$ , otherwise, we replace  $P_1$  by  $RP_1$  for some permutation matrix  $R$  of  $GL(n, \mathbb{C})$ . Let  $P_1 = \text{diag}(1, Q)$ , so  $P_1 \in \Phi(GA(n, \mathbb{C}))$  and

$$P_1^{-1}\Phi(f)P_1 = \begin{bmatrix} 1 & 0 \\ Q^{-1}a & Q^{-1}AQ \end{bmatrix}.$$

Write  $E = \text{vect}(\mathcal{C}_1, \dots, \mathcal{C}_s)$  and  $H = \text{vect}(\mathcal{C}_{s+1}, \dots, \mathcal{C}_{r'})$ , so  $E$  and  $H$  are  $G$ -invariant vector spaces. Moreover, for every  $f = (A, a) \in \mathcal{G}$  the restriction  $A|_E$  has 1 as only eigenvalue and we have

$$P_1^{-1}\Phi(f)P_1 = \begin{bmatrix} 1 & 0 & 0 \\ a_1 & A_1 & 0 \\ a_2 & 0 & A_2 \end{bmatrix}, \quad (1)$$

where  $A_1 = A|_E \in \mathbb{T}_p^*(\mathbb{C})$ ,  $A_2 = A|_H \in \mathbb{T}_{n-p}^*(\mathbb{C})$ ,  $a_1 \in \mathbb{C}^p$ ,  $a_2 \in \mathbb{C}^{n-p}$ ,  $p = n'_1 + \dots + n'_s$ . On the other hand, there exists  $f_0 = (B, b) \in \mathcal{G}$  such that  $B_2 = B|_H$  has no eigenvalue equal to 1, so  $B_2 - I_{n-p}$  is invertible. As in (1), write

$$P_1^{-1}\Phi(f_0)P_1 = \begin{bmatrix} 1 & 0 & 0 \\ b_1 & B_1 & 0 \\ b_2 & 0 & B_2 \end{bmatrix}.$$

Let  $P_2 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & I_p & 0 \\ b_2 & 0 & B_2 - I_{n-p} \end{bmatrix}$  and  $P = P_1P_2^{-1}$ , then  $P = \begin{bmatrix} 1 & 0 \\ d & P_0 \end{bmatrix} \in$

$\Phi(GA(n, \mathbb{C}))$ , where  $P_0 = Q \cdot Q_1^{-1}$ ,  $Q_1 = \begin{bmatrix} I_p & 0 \\ 0 & B_2 - I_{n-p} \end{bmatrix}$  and  $d = -P_0[0, b_2]^T$ .

For every  $f = (A, a) \in \mathcal{G}$  we have by (1),

$$\begin{aligned} P^{-1}\Phi(f)P &= P_2P_1^{-1}\Phi(f)P_1P_2^{-1} \\ &= P_2 \begin{bmatrix} 1 & 0 & 0 \\ a_1 & A_1 & 0 \\ a_2 & 0 & A_2 \end{bmatrix} P_2^{-1} \\ &= \begin{bmatrix} 1 & 0 & 0 \\ a_1 & A_1 & 0 \\ -(A_2 - I_{n-p})b_2 + (B_2 - I_{n-p})a_2 & 0 & A_2 \end{bmatrix} \quad (2) \end{aligned}$$

Since  $G$  is abelian, so by the equality  $P_1^{-1}\Phi(f)\Phi(f_0)P_1 = P_1^{-1}\Phi(f_0)\Phi(f)P_1$ , we find  $-(A_2 - I_{n-p})b_2 + (B_2 - I_{n-p})a_2 = 0$ .

It follows by (2), that  $P^{-1}GP$  is a subgroup of  $\mathcal{K}_{\eta,r}^*(\mathbb{C}) \cap \Phi(GA(n, \mathbb{C}))$ , where  $r = r' - s + 1$  and  $\eta = (p + 1, n'_{s+1}, \dots, n'_{r'})$ .

- *Case2:* Suppose that  $J = \emptyset$ , and denote by  $Fix(G) = \{x \in \mathbb{C}^{n+1} : Bx = x, \forall B \in G\}$ , then  $Fix(G) = \mathbb{C}v$  for some  $v = (1, v_1)$ ,  $v_1 \in \mathbb{C}^n$ . For every  $f = (A, a) \in \mathcal{G}$ , one has  $\Phi(f)(1, v_1) = (1, f(v_1)) = (1, v_1)$  so  $f(v_1) = Av_1 + a = v_1$ .

Let  $P = \begin{bmatrix} 1 & 0 \\ v_1 & P_1 \end{bmatrix} \in \Phi(GA(n, \mathbb{C}))$ , then

$$\begin{aligned} P^{-1}\Phi(f)P &= \begin{bmatrix} 1 & 0 \\ -P_1^{-1}v_1 & P_1^{-1} \end{bmatrix} \begin{bmatrix} 1 & 0 \\ a & A \end{bmatrix} \begin{bmatrix} 1 & 0 \\ v_1 & P_1 \end{bmatrix} \\ &= \begin{bmatrix} 1 & 0 \\ P_1^{-1}(Av_1 + a - v_1) & P_1^{-1}AP_1 \end{bmatrix} \\ &= \begin{bmatrix} 1 & 0 \\ 0 & P_1^{-1}AP_1 \end{bmatrix}. \end{aligned}$$

It follows that  $P^{-1}GP$  is a subgroup of  $\mathcal{K}_{\eta,r}^*(\mathbb{C}) \cap \Phi(GA(n, \mathbb{C}))$ , where  $r = r' + 1$  and  $\eta = (1, n'_1, \dots, n'_{r'})$ .

Since  $u_0 \in \{1\} \times \mathbb{C}^n$  and  $P \in \Phi(GA(n, \mathbb{C}))$ , so  $Pu_0 \in \{1\} \times \mathbb{C}^n$ .  $\square$

Denote by:

- $G' = P^{-1}GP$ .
- $g' = \exp^{-1}(G') \cap \mathcal{K}_{\eta,r}(\mathbb{C}) \cap \mathcal{F}_{n+1}$ .
- $g_1 = \exp^{-1}(G) \cap (P\mathcal{K}_{\eta,r}(\mathbb{C})P^{-1})$

**Lemma 2.3.** ([1], Proposition 3.2)  $\exp(\mathcal{K}_{\eta,r}(\mathbb{C})) = \mathcal{K}_{\eta,r}^*(\mathbb{C})$ .

**Lemma 2.4.** If  $N \in P\mathcal{K}_{\eta,r}(\mathbb{C})P^{-1}$  such that  $e^N \in \Phi(GA(n, \mathbb{C}))$ , so  $N - 2ik\pi I_{n+1} \in \mathcal{F}_{n+1}$ , for some  $k \in \mathbb{Z}$ .

*Proof.* Let  $N' = P^{-1}NP \in \mathcal{K}_{\eta,r}(\mathbb{C})$ ,  $M = e^N$  and  $M' = P^{-1}MP$ . We have  $e^{N'} = M'$  and by Lemma 2.3,  $M' \in \mathcal{K}_{\eta,r}^*(\mathbb{C})$ . Write  $M' = \text{diag}(M'_1, \dots, M'_r)$  and  $N' = \text{diag}(N'_1, \dots, N'_r)$ ,  $M'_k, N'_k \in \mathbb{T}_{n_k}(\mathbb{C})$ ,  $k = 1, \dots, r$ . Then  $e^{N'} = \text{diag}(e^{N'_1}, \dots, e^{N'_r})$ ,

so  $e^{N'_1} = M'_1$ . As 1 is the only eigenvalue of  $M'_1$ ,  $N'_1$  has an eigenvalue  $\mu \in \mathbb{C}$  such that  $e^\mu = 1$ . Thus  $\mu = 2ik\pi$  for some  $k \in \mathbb{Z}$ . Therefore,  $N'' = N' - 2ik\pi I_{n+1} \in \mathcal{F}_{n+1}$  and satisfying  $e^{N''} = e^{-2ik\pi} e^{N'} = M'$ . It follows that  $N - 2ik\pi I_{n+1} = PN''P^{-1} \in P\mathcal{F}_{n+1}P^{-1} = \mathcal{F}_{n+1}$ , since  $P \in \Phi(GA(n, \mathbb{C}))$ .  $\square$

**Lemma 2.5.** ([1], Lemma 4.2) *Under above notations, one has  $\exp(\mathfrak{g}_1) = G$ .*

As consequence, we obtain

**Proposition 2.6.** *We have:*

- (i)  $\mathfrak{g}_1 = \mathfrak{g} + 2i\pi\mathbb{Z}I_{n+1}$ .
- (ii)  $\exp(\mathfrak{g}) = G$ .

*Proof.* (i) By Lemma 2.5,  $\exp(\mathfrak{g}_1) = G \subset \Phi(GA(n, \mathbb{C}))$ , then by Lemma 2.4 we have  $\mathfrak{g}_1 \subset \mathfrak{g} + 2i\pi\mathbb{Z}I_{n+1}$ . Conversely is obvious since  $\mathfrak{g} + 2i\pi\mathbb{Z}I_{n+1} \subset \mathcal{K}_{\eta,r}(\mathbb{C})$  and  $\exp(\mathfrak{g} + 2i\pi\mathbb{Z}I_{n+1}) = \exp(\mathfrak{g}) \subset G$ .

(ii) By Lemma 2.5 and (i) we have  $G = \exp(\mathfrak{g}_1) = \exp(\mathfrak{g} + 2i\pi\mathbb{Z}I_{n+1}) = \exp(\mathfrak{g})$ .  $\square$

### 3. PROOF OF THEOREM 1.1

Let  $\tilde{G}$  be the group generated by  $G$  and  $H = \{\lambda I_{n+1} : \lambda \in \mathbb{C}^*\}$ , and write  $\tilde{\mathfrak{g}} = \exp^{-1}(\tilde{G} \cap \mathcal{K}_{\eta,r}(\mathbb{C}))$ . Then  $\tilde{G}$  is an abelian subgroup of  $GL(n+1, \mathbb{C})$ . See that  $Id_{\mathbb{C}^{n+1}} \in \exp^{-1}(H) \subset \tilde{\mathfrak{g}}$ , so  $\tilde{\mathfrak{g}} \setminus \Psi(MA(n, \mathbb{C})) \neq \emptyset$ .

Denote by:

- $(\mathfrak{g}_1)_{v_0} = \{Bv_0 : B \in \mathfrak{g}_1\}$ .
- $\tilde{\mathfrak{g}}_{v_0} = \{Bv_0 : B \in \tilde{\mathfrak{g}}\}$ .

**Proposition 3.1.** ([1], Theorem 1.1) *Let  $G$  be an abelian subgroup of  $GL(n+1, \mathbb{C})$ .*

*The following are equivalent:*

- (i)  $G$  has a dense orbit in  $\mathbb{C}^{n+1}$
- (ii) The orbit  $G(v_0)$  is dense in  $\mathbb{C}^{n+1}$
- (iii)  $(\mathfrak{g}_1)_{v_0}$  is an additive subgroup dense in  $\mathbb{C}^{n+1}$

**Lemma 3.2.** *The following assertions are equivalent:*

- (i)  $\overline{\mathfrak{g}_{w_0}} = \mathbb{C}^n$ .
- (ii)  $\overline{\mathfrak{g}_{v_0}} = \{0\} \times \mathbb{C}^n$ .
- (iii)  $\overline{\tilde{\mathfrak{g}}_{v_0}} = \mathbb{C}^{n+1}$ .

*Proof.* (i)  $\iff$  (ii) : For every  $f' = (B, b) \in \mathfrak{g}$ , one has

$$\begin{aligned} \Psi(f')v_0 &= \begin{bmatrix} 0 & 0 \\ b & B \end{bmatrix} \begin{bmatrix} 1 \\ w_0 \end{bmatrix} \\ &= \begin{bmatrix} 0 \\ b + Bv_0 \end{bmatrix}. \end{aligned}$$

Then  $\{0\} \times \mathfrak{g}_{w_0} = \mathfrak{g}_{v_0}$  and the equivalence is proved.

(ii)  $\iff$  (iii) : Firstly, remark that  $\tilde{\mathfrak{g}} = \mathfrak{g}_1 + H$  and  $v_0 \in \{1\} \times \mathbb{C}^n$ , then

$\overline{\tilde{g}_{v_0}} = \overline{(g_1)_{v_0}} + \mathbb{C}v_0$ . By Proposition 2.6.(i),  $(g_1)_{v_0} = g_{v_0} + 2i\pi\mathbb{Z}v_0$ , so  $\overline{\tilde{g}_{v_0}} = \overline{g_{v_0}} + \mathbb{C}v_0$ . Secondly, suppose that  $\overline{\tilde{g}_{v_0}} = \{0\} \times \mathbb{C}^n$ . Since  $v_0 \notin \{0\} \times \mathbb{C}^n$  and  $Id_{\mathbb{C}^{n+1}} \in \exp^{-1}(H \cap \mathcal{K}_{\eta,r}(\mathbb{C})) \subset \tilde{g}$ , then  $\mathbb{C}v_0 \subset \tilde{g}_{v_0}$ . Therefore  $\mathbb{C}^{n+1} = \overline{\tilde{g}_{v_0}} \oplus \mathbb{C}v_0 \subset \overline{\tilde{g}_{v_0}}$ . Conversely, suppose that  $\overline{\tilde{g}_{v_0}} = \mathbb{C}^{n+1}$ . Since  $\overline{\tilde{g}_{v_0}} \subset \{0\} \times \mathbb{C}^n$  and  $\mathbb{C}v_0 \cap (\{0\} \times \mathbb{C}^n) = \{0\}$ , then  $\overline{\tilde{g}_{v_0}} \oplus \mathbb{C}v_0 = \mathbb{C}^{n+1}$ , thus  $\overline{\tilde{g}_{v_0}} = \{0\} \times \mathbb{C}^n$ .  $\square$

**Lemma 3.3.** *Let  $x \in \mathbb{C}^n$ . Then the following assertions are equivalent:*

- (i)  $\overline{\mathcal{G}(x)} = \mathbb{C}^n$ .
- (ii)  $\overline{G(1, x)} = \{1\} \times \mathbb{C}^n$ .
- (iii)  $\overline{\tilde{G}(1, x)} = \mathbb{C}^{n+1}$ .

*Proof.* (i)  $\iff$  (ii) : The proof is obvious, since  $\{1\} \times \mathcal{G}(x) = G(1, x)$  by construction.

(ii)  $\iff$  (iii) : Suppose that  $\overline{\tilde{G}(1, x)} = \mathbb{C}^{n+1}$ . If  $\overline{G(1, x)} \neq \{1\} \times \mathbb{C}^n$ , then there exists an open subset  $O$  of  $\mathbb{C}^n$  such that  $(\{1\} \times O) \cap G(1, x) = \emptyset$ . Let  $y \in O$  and  $(g_m)_m$  be a sequence in  $\tilde{G}$  such that  $\lim_{m \rightarrow +\infty} g_m(1, x) = (1, y)$ . Since  $\tilde{G}$  is abelian then  $g_m = \lambda_m f_m$ , with  $f_m \in G$  and  $\lambda_m \in \mathbb{C}^*$ , thus  $\lim_{m \rightarrow +\infty} \lambda_m = 1$ . Therefore,  $\lim_{m \rightarrow +\infty} f_m(1, x) = \lim_{m \rightarrow +\infty} \frac{1}{\lambda_m} g_m(1, x) = (1, y)$ . Hence,  $(1, y) \in \overline{G(1, x)} \cap (\{1\} \times O)$ , a contradiction. Conversely, if  $\overline{G(1, x)} = \{1\} \times \mathbb{C}^n$ , then

$$\begin{aligned} \mathbb{C}^{n+1} &= \bigcup_{\lambda \in \mathbb{C}} \lambda(\{1\} \times \mathbb{C}^n) \\ &= \bigcup_{\lambda \in \mathbb{C}} \lambda \overline{G(1, x)} \\ &\subset \overline{\tilde{G}(1, x)} \end{aligned}$$

$\square$

**3.1. Proof of Theorem 1.1.** The proof of Theorem 1.1 results directly from Lemma 3.3, Proposition 3.1 and Lemma 3.2.  $\square$

## 4. FINITELY GENERATED SUBGROUPS

### 4.1. Proof of Theorem 1.2.

Let  $J_k = \text{diag}(J_{k,1}, \dots, J_{k,r})$  with  $J_{k,i} = 0 \in \mathbb{T}_{n_i}(\mathbb{C})$  if  $i \neq k$  and  $J_{k,k} = I_{n_k}$ .

**Proposition 4.1.** ([1], Proposition 8.1) *Let  $G$  be an abelian subgroup of  $GL(n+1, \mathbb{C})$  generated by  $A_1, \dots, A_p$ . Let  $B_1, \dots, B_p \in \mathfrak{g}$  such that  $A_k = e^{B_k}$ ,  $k = 1, \dots, p$  and  $P \in GL(n+1, \mathbb{C})$  satisfying  $P^{-1}GP \subset \mathcal{K}_{\eta,r}^*(\mathbb{C})$ . Then:*

$$g_1 = \sum_{k=1}^p \mathbb{Z}B_k + 2i\pi \sum_{k=1}^r \mathbb{Z}PJ_kP^{-1} \quad \text{and} \quad (g_1)_{v_0} = \sum_{k=1}^p \mathbb{Z}B_kv_0 + \sum_{k=1}^r 2i\pi \mathbb{Z}Pe^{(k)}.$$

**Proposition 4.2.** (Under notations of Proposition 2.2) Let  $\mathcal{G}$  be an abelian subgroup of  $GA(n, \mathbb{C})$  generated by  $f_1, \dots, f_p$  and let  $f'_1, \dots, f'_p \in \mathfrak{g}$  such that  $\Phi(f_k) = e^{\Psi(f'_k)}$ ,  $k = 1, \dots, p$ . Then:

$$\mathfrak{g}_{w_0} = \sum_{k=1}^p \mathbb{Z}f'_k(w_0) + \sum_{k=1}^r 2i\pi\mathbb{Z}(p_2 \circ Pe^{(k)}).$$

*Proof.* Let  $G = \Phi(\mathcal{G})$ . Then  $G$  is generated by  $\Phi(f_1), \dots, \Phi(f_p)$ . By proposition 4.1 we have

$$\mathfrak{g}_1 = \sum_{k=1}^p \mathbb{Z}\Psi(f'_k) + \sum_{k=1}^r 2i\pi\mathbb{Z}PJ^{(k)}P^{-1}.$$

Since  $P \in \Phi(GA(n, \mathbb{C}))$  then  $PJ^{(1)}P^{-1} \notin \mathcal{F}_{n+1}$  and  $PJ^{(k)}P^{-1} \in \mathcal{F}_{n+1}$  for every  $k = 2, \dots, r$ . As  $\mathfrak{g} = \mathfrak{g}_1 \cap \mathcal{F}_{n+1}$ , then

$$\mathfrak{g} = \begin{cases} \sum_{k=1}^p \mathbb{Z}\Psi(f'_k) + \sum_{k=2}^r 2i\pi\mathbb{Z}PJ^{(k)}P^{-1}, & \text{if } r \geq 2 \\ \sum_{k=1}^p \mathbb{Z}\Psi(f'_k), & \text{if } r = 1 \end{cases}$$

By construction, one has  $\mathfrak{g}_{w_0} = p_2(\mathfrak{g}_{v_0})$ ,  $v_0 = Pu_0$ ,  $J^{(k)}u_0 = e^{(k)}$  and  $p_2(\Psi(f'_k)v_0) = f'_k(w_0)$ . Then

$$\mathfrak{g}_{w_0} = \begin{cases} \sum_{k=1}^p \mathbb{Z}f'_k(w_0) + \sum_{k=2}^r 2i\pi\mathbb{Z}(p_2 \circ Pe^{(k)}), & \text{if } r \geq 2 \\ \sum_{k=1}^p \mathbb{Z}f'_k(w_0), & \text{if } r = 1 \end{cases} \quad (3)$$

The proof is completed.  $\square$

Recall the following Proposition which was proven in [7]:

**Proposition 4.3.** (cf. [7], page 35). Let  $F = \mathbb{Z}u_1 + \dots + \mathbb{Z}u_p$  with  $u_k = (u_{k,1}, \dots, u_{k,n}) \in \mathbb{C}^n$  and  $u_{k,i} = \operatorname{Re}(u_{k,i}) + i\operatorname{Im}(u_{k,i})$ ,  $k = 1, \dots, p$ ,  $i = 1, \dots, n$ . Then  $F$  is dense in  $\mathbb{C}^n$  if and only if for every  $(s_1, \dots, s_p) \in \mathbb{Z}^p \setminus \{0\}$ :

$$\operatorname{rank} \begin{bmatrix} \operatorname{Re}(u_{1,1}) & \dots & \dots & \operatorname{Re}(u_{p,1}) \\ \vdots & \vdots & \vdots & \vdots \\ \operatorname{Re}(u_{1,n}) & \dots & \dots & \operatorname{Re}(u_{p,n}) \\ \operatorname{Im}(u_{1,1}) & \dots & \dots & \operatorname{Im}(u_{p,1}) \\ \vdots & \vdots & \vdots & \vdots \\ \operatorname{Im}(u_{1,n}) & \dots & \dots & \operatorname{Im}(u_{p,n}) \\ s_1 & \dots & \dots & s_p \end{bmatrix} = 2n + 1.$$

*Proof of Theorem 1.2:* This follows directly from Theorem 1.1, Propositions 4.2 and 4.3.



#### 4.2. Proof of Corollaries 1.3 and 1.4.

*Proof of Corollary 1.3:* We show first that if  $F = \mathbb{Z}u_1 + \cdots + \mathbb{Z}u_m$ ,  $u_k \in \mathbb{C}^n$  with  $m \leq 2n$ , then  $F$  can not be dense: Write  $u_k \in \mathbb{C}^n$ ,  $u_k = \text{Re}(u_k) + i\text{Im}(u_k)$  and  $v_k = [\text{Re}(u_k); \text{Im}(u_k); s_k]^T \in \mathbb{R}^{2n+1}$ ,  $1 \leq k \leq m$ . Since  $m \leq 2n$ , it follows that  $\text{rank}(v_1, \dots, v_m) \leq 2n$ , and so  $F$  is not dense in  $\mathbb{C}^n$  by Proposition 4.3. Now, by applying Theorem 1.2 and the fact that  $m = p+r-1 \leq 2n$  (since  $r \leq n+1$ ) and by (1), the Corollary 1.3 follows.  $\square$

*Proof of Corollary 1.4:* Since  $p \leq n$  and  $r \leq n+1$  then  $p+r-1 \leq 2n$ . Corollary 1.4 follows from Corollary 1.3.  $\square$

### 5. EXAMPLE

**Example 5.1.** Let  $\mathcal{G}$  the group generated by  $f_1 = (A_1, a_1)$ ,  $f_2 = (A_2, a_2)$ ,  $f_3 = (A_3, a_3)$  and  $f_4 = (A_4, a_4)$ , where:

$$\begin{aligned} A_1 &= I_2, \quad a_1 = (1+i, 0), \quad A_2 = \text{diag}(1, e^{-2+i}), \quad a_2 = (0, 0). \\ A_3 &= \text{diag}\left(1, e^{\frac{-\sqrt{2}}{\pi} + i\left(\frac{\sqrt{2}}{2\pi} - \frac{\sqrt{7}}{2}\right)}\right), \quad a_3 = \left(\frac{-\sqrt{3}}{2\pi} + i\left(\frac{\sqrt{5}}{2} - \frac{\sqrt{3}}{2\pi}\right), 0\right), \\ A_4 &= I_2 \quad \text{and} \quad a_4 = (2i\pi, 0). \end{aligned}$$

Then every orbit in  $V = \mathbb{C} \times \mathbb{C}^*$  is dense in  $\mathbb{C}^2$ .

*Proof.* Denote by  $G = \Phi(\mathcal{G})$ . Then  $G$  generated by

$$\begin{aligned} \Phi(f_1) &= \begin{bmatrix} 1 & 0 & 0 \\ 1+i & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad \Phi(f_2) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{-2+i} \end{bmatrix}, \\ \Phi(f_3) &= \begin{bmatrix} 1 & 0 & 0 \\ \frac{-\sqrt{3}}{2\pi} + i\left(\frac{\sqrt{5}}{2} - \frac{\sqrt{3}}{2\pi}\right) & 1 & 0 \\ 0 & 0 & e^{\frac{-\sqrt{2}}{\pi} + i\left(\frac{\sqrt{2}}{2\pi} - \frac{\sqrt{7}}{2}\right)} \end{bmatrix}, \end{aligned}$$

and

$$\Phi(f_4) = \begin{bmatrix} 1 & 0 & 0 \\ 2i\pi & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

Let  $f'_1 = (B_1, b_1)$ ,  $f'_2 = (B_2, b_2)$  and  $f'_3 = (B_3, b_3)$  such that  $e^{\Psi(f'_k)} = A'_k$ ,  $k = 1, 2, 3, 4$ . We have

$$\begin{aligned} B_1 &= \text{diag}(0, 0), \quad b_1 = (1+i, 0), \\ B_2 &= \text{diag}(0, -2+i), \quad b_2 = (0, 0), \\ B_3 &= \text{diag}\left(0, \frac{-\sqrt{2}}{\pi} + i\left(\frac{\sqrt{2}}{2\pi} - \frac{\sqrt{7}}{2}\right)\right), \quad b_3 = \left(\frac{-\sqrt{3}}{2\pi} + i\left(\frac{\sqrt{5}}{2} - \frac{\sqrt{3}}{2\pi}\right), 0\right), \\ B_4 &= \text{diag}(0, 0) \quad \text{and} \quad b_4 = (2i\pi, 0). \end{aligned}$$

Here, we have:

-  $G$  is an abelian subgroup of  $\mathcal{K}_{(2,1),2}^*(\mathbb{C})$ .

- $P = I_3$ ,  $r = 2$ ,  $U = \mathbb{C}^* \times \mathbb{C} \times \mathbb{C}^*$ ,  $u_0 = (1, 0, 1)$ ,  $e^{(1)} = (1, 0, 0)$  and  $e^{(2)} = (0, 0, 1)$
- $V = \mathbb{C} \times \mathbb{C}^*$ ,  $w_0 = (0, 1)$ .

In the other hand, for every  $(s_1, s_2, s_3, s_4, t_2) \in \mathbb{Z}^5 \setminus \{0\}$ , one has the determinant:

$$\begin{aligned} \Delta &= \begin{vmatrix} \operatorname{Re}(B_1 w_0 + b_1) & \operatorname{Re}(B_2 w_0 + b_2) & \operatorname{Re}(B_3 w_0 + b_3) & \operatorname{Re}(B_4 w_0 + b_4) & 0 \\ \operatorname{Im}(B_1 w_0 + b_1) & \operatorname{Im}(B_2 w_0 + b_2) & \operatorname{Im}(B_3 w_0 + b_3) & \operatorname{Im}(B_4 w_0 + b_4) & 2\pi e^{(2)} \end{vmatrix} \\ &= \begin{vmatrix} s_1 & s_2 & s_3 & s_4 & t_2 \\ -\frac{\sqrt{3}}{2\pi} & 1 & 0 & 0 & 0 \\ -\frac{\sqrt{2}}{\pi} & 0 & -2 & 0 & 0 \\ \frac{\sqrt{5}}{2} - \frac{\sqrt{3}}{2\pi} & 1 & 0 & 2\pi & 0 \\ \frac{\sqrt{2}}{2\pi} - \frac{\sqrt{7}}{2} & 0 & 1 & 0 & 2\pi \end{vmatrix} \\ &= -2\pi \left( (4s_1)\pi - (2s_3)\sqrt{2} + (2s_2)\sqrt{3} + s_4\sqrt{5} + t_2\sqrt{7} \right). \end{aligned}$$

Since  $\pi$ ,  $\sqrt{2}$ ,  $\sqrt{3}$ ,  $\sqrt{5}$  and  $\sqrt{7}$  are rationally independent then  $\Delta \neq 0$  for every  $(s_1, s_2, s_3, t_1, t_2) \in \mathbb{Z}^5 \setminus \{0\}$ . It follows that:

$$\operatorname{rank} \begin{bmatrix} -\frac{\sqrt{3}}{2\pi} & 1 & 0 & 0 & 0 \\ -\frac{\sqrt{2}}{\pi} & 0 & -2 & 0 & 0 \\ \frac{\sqrt{5}}{2} - \frac{\sqrt{3}}{2\pi} & 1 & 0 & 2\pi & 0 \\ \frac{\sqrt{2}}{2\pi} - \frac{\sqrt{7}}{2} & 0 & 1 & 0 & 2\pi \\ s_1 & s_2 & s_3 & s_4 & t_2 \end{bmatrix} = 5$$

and by Theorem 1.2,  $\mathcal{G}$  has a dense orbit and every orbit of  $V$  is dense in  $\mathbb{C}^2$ .  $\square$

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